

# CYPRESS CAPITAL WEALTH MANAGEMENT, LLC

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## Privacy Policy

Investment Advisers are required by Federal law to inform their clients of their policies regarding privacy of client information. Cypress Capital Wealth Management, LLC (“Cypress Capital,” the “Adviser,” the “Firm,” “we,” “us” or “our”) appreciates the trust our clients place in us, and we recognize the importance of protecting the confidentiality of non-public personal information that we collect from them through our business relationships. The information is used to ensure accuracy in reporting and record keeping, to perform our regular course of business, and to comply with the law and our regulators. The purpose of this Privacy Policy (“Policy”) is to explain our practices with respect to the collection, use, disclosure, and safeguarding of your personal information.

We take our responsibility to protect the privacy and confidentiality of your information, including personal information, very seriously. We maintain physical, electronic and procedural safeguards that comply with applicable legal standards to secure such information from unauthorized access and use, accidental or unlawful alteration and destruction, and other unlawful or unauthorized forms of Processing. We hold our employees accountable for complying with relevant policies, procedures, rules and regulations concerning the privacy and confidentiality of information. Keeping this information secure is a top priority for us, and we are pleased to share with you our Privacy Policy:

1. We collect non-public personal information about our clients from the following sources:
  - a. Applications (e.g., brokerage account applications)
  - b. Our Investment Management Agreement
  - c. Records of accounts under our management
  - d. Other forms, correspondence, and communications
2. The information we collect can include:
  - a. Name, address, social security number, and date of birth
  - b. Assets, income, and investment objectives
  - c. Transactions and investments with us (account values, transactions, etc.)
  - d. Other information useful to our services
3. We maintain administrative, physical and electronic safeguards to protect non-public personal information.
4. We may disclose non-public personal information about our clients and former clients to employees, independent contractors, or other third parties with whom we have contracted to perform services on our behalf, such as brokerage, legal, accounting, compliance, and data processing services, as well as in order to comply with legal and regulatory requests made to us, and to assist with law enforcement, investigations, complaints, regulatory requests, litigation, arbitration, mediation, and other legal processes.
5. Note that our Privacy Policy includes no right to disseminate non-public personal information about our clients and former clients to any external or third party for marketing or other purposes not directly related to servicing your account.
6. We may disclose non-public personal information about our clients and former clients as required by Federal, state, or local law. We will provide notice of changes in our information sharing practices. If, at any time in the future, it is necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change so you will have the opportunity to opt-out of such disclosure.

Other Important Information:

- For California residents: We will not share information we collect about you with nonaffiliated third parties, except as permitted by law. We will not share information about your creditworthiness with our affiliates, as applicable, other than as permitted by California law, unless you authorize us to make those disclosures.
- For Nevada clients: Section 340 of the Nevada Privacy Law requires that an operator post a privacy policy, which is called a “notice” in Nevada. We are providing you this notice pursuant to state law. We will not share information we collect about you with nonaffiliated third parties, except as permitted by law. We will not share information about your creditworthiness with our affiliates, as applicable, other than as permitted by Nevada law, unless you authorize us to make those disclosures.
- For Vermont residents: We will not share information we collect about you with nonaffiliated third parties, except as permitted by law, including, for example with your consent or to service your account. We will not share information about your creditworthiness with our affiliates, other than as permitted by Vermont law, unless you authorize us to make those disclosures.

Cypress Capital is registered as an investment adviser with the United States Securities and Exchange Commission (the “SEC”) pursuant to the Investment Advisers Act of 1940, as amended (the “Advisers Act”). In addition, the Firm complies with rules enacted by state securities authorities. Registration with the SEC does not in any way constitute an endorsement by the SEC of an investment adviser’s skill or expertise. Further, registration does not imply or guarantee that a registered adviser has achieved a certain level of skill, competency, sophistication, expertise or training in providing advisory services to its Clients. The Firm is committed to safeguarding the confidential information of our clients. We will provide notice of changes in our information sharing practices. If, at any time in the future, it is necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change so you will have the opportunity to opt out of such disclosure. Upon the identification of a Cybersecurity Incident, the CCO will generate the creation of an immediate response team, on a per incident basis. The composition of this team is the IT Management Committee (“Response Team”). The purpose of the Response Team is to minimize the threat of damage resulting from a Cybersecurity Incident. The Response Team shall promptly work to establish the scope of the incident and to identify the extent of systems and data affected. If it appears that personally identifiable information may have been compromised, the CCO will be responsible for ensuring compliance with the policies and procedures outlined in the Company’s Privacy Policy.

We want to hear from you if you have questions.

If you have any comments, questions or concerns about any of the information in this Privacy Policy, or any other issues relating to the processing of your personal information by Cypress Capital Wealth Management under this Policy, please contact your Cypress Capital Wealth Management contact, or:

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